



Form ADV Part 2B Brochure Supplement

Bradley Van Vechten

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Brochure Updated: April 27, 2016

This Brochure Supplement provides information about Bradley Van Vechten that supplements the Private Wealth Management Solutions LLC. Brochure. You should have received a copy of that Brochure. Please contact Mr. Van Vechten if you did not receive the Private Wealth Management Solutions Brochure or if you have any questions about the contents of this supplement. Additional information about Bradley Van Vechten is available on the SEC's website at www.adviserinfo.sec.gov. His CRD number is 2447063.

Educational Background and Business Experience

Bradley Van Vechten was born in 1955. He attended San Jose State University where he earned a Bachelor of Arts degree in Business Administration. He also earned a certificate in Personal Financial Planning from the University of California at Berkeley.

CFP®, (Certified Financial Planner)

Bradley Van Vechten is a CFP® (Certified Financial Planner). The CFP designation is issued by the Certified Financial Planner Board of Standards, Inc. A CFP candidate must have a bachelor's degree or higher from an accredited college or university, and 3 years full-time personal financial planning experience. The candidate must complete a CFP-board registered program or hold one of the following: CPA, ChFC, CLU, CFA, Ph.D. in business or economics, Doctor of Business Administration or an Attorney's License. CFP candidates

must pass the CFP Certification Examinations. To maintain the designation s/he must attend at least 30 hours of continuing education every two years.

CLU® (Chartered Life Underwriter®):

Bradley Van Vechten is also a Chartered Life Underwriter®. Individuals holding the CLU designation have completed eight or more college-level courses. Topics for required courses include insurance and financial planning, life insurance law, estate planning, and planning for business owners and professionals. CLU® designees must meet experience and continuing education requirements and must adhere to an ethical standard. The mark is awarded by the American College.

ChFC (Chartered Financial Consultant)

Bradley Van Vechten is also a ChFC (Chartered Financial Consultant). The ChFC designation is issued by The American College. A ChFC candidate must 3 years full-time business experience within the five years preceding the awarding of the designation. The candidate must complete six core and two elective courses and pass a final proctored exam for each course. To maintain the designation s/he must obtain at least 30 continuing education credits every two years.

CIMA® (Certified Investment Management Analyst®)

Bradley Van Vechten is a CIMA®. The CIMA certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. Prerequisites for the CIMA certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA certification, candidates must pass an online Qualification Examination, successfully complete a one-week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school, pass an online Certification Examination, and have an acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements. CIMA designees are required to adhere to IMCA's Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks. CIMA designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through Investment Management Consultants Association (IMCA).

The CIMA certification has earned ANSI® (American National Standards Institute) accreditation under the personnel certification program. The American National Standards Institute, or ANSI, is a private non-profit organization that facilitates standardization and conformity assessment activities in the United States. CIMA is the first financial services credential to meet this international standard for personnel certification.

Business Experience

- Private Wealth Management Solutions, LLC., 2013 to present, Owner & Investment Advisor Representative
- Charles Schwab & Co., Inc. 2011-2013, Registered Representative and Investment Advisor Representative
- Bradley Van Vechten, CFP. Independent planner affiliated with LPL Financial, 2001-2011, Registered Representative and Investment Advisor Representative
- US Bancorp Piper Jaffray, 1999 -2001, Registered Representative and Investment Advisor Representative
- Union Bank of California Investment Services, 1997-1999, Registered Representative
- WestAmerica Bank/ Liberty Securities, **1995-1997**, Registered Representative
- IDS/American Express Financial Advisors, **1994-1995**, Registered Representative

Disciplinary Information

Bradley Van Vechten **has not** been involved in any of the events listed below:

Item 3.A - criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person

1. was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
3. was found to have been involved in a violation of an investment-related statute or regulation; or
4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.

Bradley Van Vechten **has not** been involved in any of the events listed below:

Item 3.B - An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person

1. was found to have caused an investment-related business to lose its authorization to do business; or
2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
 - (a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;

- (b) barring or suspending the supervised person's association with an investment-related business;
- (c) otherwise significantly limiting the supervised person's investment-related activities; or
- (d) imposing a civil money penalty of more than \$2,500 on the supervised person.

Bradley Van Vechten **has not** been involved in any of the events listed below:

Item 3.C self-regulatory organization (SRO) proceeding in which the supervised person

1. was found to have caused an investment-related business to lose its authorization to do business; or
2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

Bradley Van Vechten **has not** been involved in any of the events listed below:

Item 3.D - Any other hearing or formal adjudication in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct. If the supervised person resigned (or otherwise relinquished the attainment, designation, or license) in anticipation of such a hearing or formal adjudication (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

Other Business Activities

Bradley Van Vechten does not have business activities outside of Private Wealth Management Solutions. Mr. Van Vechten is not engaged in any investment related business activity including registration or has an application pending as a broker-dealer futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA. Bradley Van Vechten may provide advice about matters other than securities. This advice might include general guidance about risk and insurance. Mr. Van Vechten is not an insurance agent and does not sell insurance products.

Bradley Van Vechten is a member of the board of directors and Secretary of the East King County Estate Planning Council. He is not compensated for these activities and they comprise less than 1% of his time.

Additional Compensation

Bradley Van Vechten's compensation comes solely from Private Wealth Management Solutions, LLC. and is in part based upon the number of clients and size of accounts that he provides services to. He does not receive compensation from non-clients for providing advisory services.

Supervision

We are a small investment advisor, with a limited number of principals and employees. Because of our size, traditional internal control and oversight structures are not viable because a separation of duties among different people is not possible. We maintain records that are intended to demonstrate our compliance with securities laws, rules and our policies.

We believe that this Form ADV Part 2 discloses all material conflicts of interest regarding Private Wealth Management Solutions, LLC. and Mr. Van Vechten that could be reasonably expected to impair the rendering of unbiased and objective advice.

Item 7- Requirements for State-Registered Advisers

In addition to the events listed in Item 3, this Form ADV Part 2B, Mr. Van Vechten **has not** been involved in any of the events listed below.

1) An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Mr. Van Vechten has never been the subject of a bankruptcy petition.